



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

*Dolan*

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

- (a) [ ] is attached hereto.
- (b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.
- (c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

**Claim for Priority**

JAN 30 2003

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

**EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>	YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>

**FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)

**Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574 (application number)	August 10, 2001 (filing date)
------------------------------------	----------------------------------

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE	SIGNATURE		

[x] Signature for additional joint inventor attached. Number of Pages 5.

[ ] Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages   .

[ ] Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages   .

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE 1/9/03	SIGNATURE 		

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE	SIGNATURE		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE	SIGNATURE		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		

COMBINED DECLARATION  
AND POWER OF ATTORNEY

E. Edwards

As a below named inventor, I hereby declare that:

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I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

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60/311,574  
(application number)

August 10, 2001  
(filing date)

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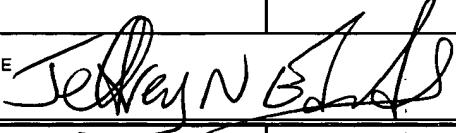
NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road	CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901	
DATE	SIGNATURE		

[x] Signature for additional joint inventor attached. Number of Pages 5.

[ ] Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages   .

[ ] Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages   .

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE	SIGNATURE		

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE 1/13/03	SIGNATURE 		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE	SIGNATURE		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Epebaum

I, the b low named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

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**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

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COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
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60/311,574 August 10, 2001  
(application number) (filing date)

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[x] Signature for additional joint inventor attached. Number of Pages 5.

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NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
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NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE	SIGNATURE		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US Mexico N.D.
POST OFFICE ADDRESS 500 Chambers St. #40- 444 17th Street 3E	Y.O.	CITY New York,	STATE/COUNTRY ZIP CODE NY 10282 17215 Y.O.
DATE 1/14/03	SIGNATURE 		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

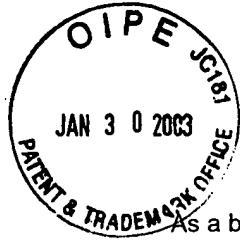
NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

FILE

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

**RECEIVED**

FEB 05 2003

OFFICE OF PETITIONS



#### **Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

Signature for additional joint inventor attached. Number of Pages 5.

Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages   .

Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages   .

RECEIVED

FEB 05 2003

## **OFFICE OF PETITIONS**

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	1/14/03	SIGNATURE	<i>Fredrick J. Fiddle</i>

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodmere	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1010 Southend		CITY Woodmere	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632,
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Lapert

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

- (a) [ ] is attached hereto.
- (b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.
- (c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600



MLCO.P-002

### **Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

[x] Signature for additional joint inventor attached. Number of Pages 5.  
[ ] Signature by Administrator(trix) or legal representative for deceased or  
incapacitated inventor. Number of Pages \_\_\_\_.  
[ ] Signature for inventor who refuses to sign or cannot be reached by person  
authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

**RECEIVED**  
FEB 05 2003  
**OFFICE OF PETITIONS**

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	

NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE 1/10/03		SIGNATURE	

NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	

NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 KAREN'S Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Kaplan

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

RECEIVED

FEB 05 2003

OFFICE OF PETITIONS



MI GO P-002

### **Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574 August 10, 2001  
(application number) (filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

Signature for additional joint inventor attached. Number of Pages 5.

Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages \_\_\_\_.

Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE	SIGNATURE		
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE	SIGNATURE		
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE 1/9/03	SIGNATURE 		
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE	SIGNATURE		

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE	SIGNATURE		

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karens Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Kolen

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600



MLCO.P-002

## **Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574 August 10, 2001  
(application number) (filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE	SIGNATURE		

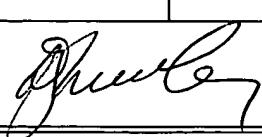
[x] Signature for additional joint inventor attached. Number of Pages 5.  
[ ] Signature by Administrator(trix) or legal representative for deceased or  
incapacitated inventor. Number of Pages \_\_\_\_.  
[ ] Signature for inventor who refuses to sign or cannot be reached by person  
authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

**RECEIVED**  
**FEB 05 2003**  
**OFFICE OF PETITIONS**

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE	1/9/03	SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Luciano

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

- (a) [ ] is attached hereto.
- (b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.
- (c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

RECEIVED

FEB 05 2003

OFFICE OF PETITIONS



**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES [ ] NO [ ]	YES [ ] NO [ ]
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574 August 10, 2001  
(application number) (filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

Signature for additional joint inventor attached. Number of Pages 5.

Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages   .

Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages   .

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE 1/9/03	SIGNATURE 		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		

COMBINED DECLARATION  
AND POWER OF ATTORNEY

ParTrick

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

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**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

RECEIVED  
FEB 05 2003  
OFFICE OF PETITIONS



MICRO P-002

**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

[x] Signature for additional joint inventor attached. Number of Pages 5.  
[ ] Signature by Administrator(trix) or legal representative for deceased or  
incapacitated inventor. Number of Pages \_\_\_\_.  
[ ] Signature for inventor who refuses to sign or cannot be reached by person  
authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE	SIGNATURE		

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE	SIGNATURE		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE	SIGNATURE		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE <i>1/13/09</i>	SIGNATURE 		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

*Pepe*

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600



**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574  
(application number)

August 10, 2001  
(filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

[x] Signature for additional joint inventor attached. Number of Pages 5.  
[ ] Signature by Administrator(trix) or legal representative for deceased or  
incapacitated inventor. Number of Pages   .  
[ ] Signature for inventor who refuses to sign or cannot be reached by person  
authorized under 37 CFR § 1.47. Number of Pages   .

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

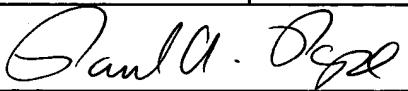
NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road	CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690	
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K	CITY New York	STATE/COUNTRY ZIP CODE 10021	
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109	CITY New York	STATE/COUNTRY ZIP CODE New York 10003	
DATE 1/14/03	SIGNATURE 		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B	CITY New York	STATE/COUNTRY ZIP CODE New York 10023	
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		

**COMBINED DECLARATION  
AND POWER OF ATTORNEY***Steifman*

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

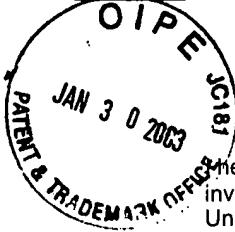
(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600



MICRO P-002

**Claim for Priority**

I/We hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

**60/311,574** **August 10, 2001**  
**(application number)** **(filing date)**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

[x] Signature for additional joint inventor attached. Number of Pages 5.  
[ ] Signature by Administrator(trix) or legal representative for deceased or  
incapacitated inventor. Number of Pages \_\_\_\_.  
[ ] Signature for inventor who refuses to sign or cannot be reached by person  
authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

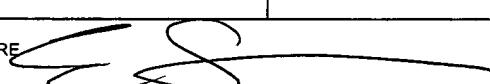
NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	
NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE 1/13/03	SIGNATURE 		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		

**COMBINED DECLARATION  
AND POWER OF ATTORNEY***Stein*

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121	DIRECT TELEPHONE CALLS TO: OPPEDAHL & LARSON LLP (970)468-6600
---	--



MLCO.P-002

**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

**EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES [ ] NO [ ]	YES [ ] NO [ ]

**FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)

**Provisional Application**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

60/311,574  
(application number)August 10, 2001  
(filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE	SIGNATURE		

[x] Signature for additional joint inventor attached. Number of Pages 5.

[ ] Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages \_\_\_\_.

[ ] Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE		SIGNATURE	

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE		SIGNATURE	
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE		SIGNATURE	
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE		SIGNATURE	

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	
NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karens Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE 1/13/2003	SIGNATURE 		
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE	SIGNATURE		

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE	SIGNATURE		

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE	SIGNATURE		

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



#5

MLCO.P-002

**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

Birle

a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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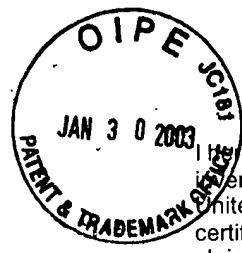
(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES [ ] NO [ ]	YES [ ] NO [ ]
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

**Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574  
(application number)

August 10, 2001  
(filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE <i>19/03</i>	SIGNATURE <i>James R Birle L</i>		

- Signature for additional joint inventor attached. Number of Pages 5.
- Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages   .
- Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages   .

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE	SIGNATURE		

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE	SIGNATURE		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE	SIGNATURE		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

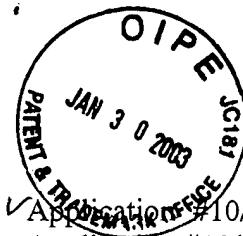
NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE		SIGNATURE	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



UNITED STATES PATENT AND TRADEMARK OFFICE

✓ Application #10/064,745 - filed on August 12, 2002

Application #10/218,124 - filed on August 12, 2002

Application #PCT/US02/25668 - filed on August 12, 2002 - designating the United States

Application #PCT/US02/25923 - filed on August 12, 2002 - designating the United States

**Declaration relating to Daniel Y. Kerstein**

I, Patrick Romain, declare as follows: I am First Vice President, Senior Counsel, Corporate Law in the Office of General Counsel of Merrill Lynch & Co., Inc.

I have personal knowledge of the following facts: Mr. Daniel Y. Kerstein left the employ of Merrill Lynch on December 6, 2002. Prior to that date the specification, drawings, and claims as filed with the Patent Office for each of the applications listed above were made available to Mr. Kerstein in several different ways.

On about December 20, 2002 I presented Mr. Kerstein with an inventor's declaration for each of the applications listed above. I did this by delivering this to him at his last known address which is 1000 Barberry Lane, Woodsburgh, NY 11598. The reason why I know they were delivered is a tracking notification from UPS, a copy of which is attached. Also attached is a copy of the UPS label and a copy of a cover letter dated December 19, 2002.

Mr. Kerstein has not responded to my December 19, 2002 letter, and I construe this as a refusal to sign.

On or about January 6, 2003, I was advised that Mr. Kerstein is represented by counsel, namely Mr. Charles Wertman, Bienefeld & Wertman, 26 Broadway, 21st floor, New York, NY 10004. For this reason I am now unable to correspond with Mr. Kerstein directly. On January 22, 2003, I sent a letter to Mr. Wertman, a copy of which is attached along with some of its attachments. The letter included a complete set of the applications as filed, including specification, claims and drawings, for the applications listed above. The letter also included a complete set of inventor's declarations for the applications listed above. I know that the package was delivered because of a delivery control ticket, a copy of which is attached, showing delivery.

I have not received signed papers from Mr. Wertman or from Mr. Kerstein after sending this letter. I construe this as a refusal to sign.

I make these statements under penalty of perjury.

Patrick Romain

1/27/2003  
Date

**RECEIVED**

FEB 05 2003

OFFICE OF PETITIONS



January 17, 2003  
hipper.357855  
Page 1 of 1

ATTN : LORIL MORGAN  
PHONE : (212)670-0188

**DELIVERY NOTIFICATION**

**FAX RESPONSE**

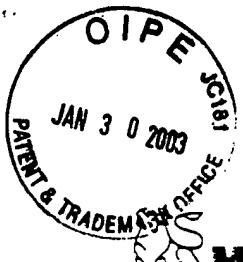
INQUIRY FROM: LORIL MORGAN  
MERRILL LYNCH  
222 BROADWAY FLR 17  
NEW YORK NY 10038

SHIPMENT TO: DANIEL Y KIRSTEIN  
N/A  
1000 BARBERRY LN  
WOODSBURGH NY 11598

Shipper Number.....357855

Tracking Identification Number...1Z3578552210000851

According to our records, 1 parcel was delivered on 12/20/02 at 10:27 A.M., and left at REAR DOOR.



**Merrill Lynch**

Patrick Romain  
First Vice President  
Senior Counsel  
Corporate Law

Office of General Counsel

222 Broadway  
17th Floor  
New York, New York 10038  
212 670 0197  
FAX 212 670 4516  
[promain@exchange.ml.com](mailto:promain@exchange.ml.com)

December 19, 2002

**BY UPS MAIL**

Daniel Y. Kerstein  
1000 Barberry Lane  
Woodsburgh, NY 11598

Dear Dan:

As you may recall, you executed documents relating to the Contingent Payment LYONS patent applications last month. Due to a minor change in inventorship in the applications, new Declarations need to be executed by the inventors. Therefore, I am enclosing four Declaration documents. I would appreciate your signing and dating the documents where indicated and returning them to me using the enclosed overnight return label.

Please feel free to contact me at the above-listed number, or Jill Gaffney at (212) 670-0429, if you have any questions. Thank you for your help with this matter.

Very truly yours,

Enclosures





**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

*Patrick  
Warble  
Green*

a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
--------------------------	---------------	--------------------------------------	----------------------------

**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

JAN 3 0 2003

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U.S. PAT. & TRADEMARK

#### **Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

## **Provisional Application**

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

**60/311,574** August 10, 2001  
**(application number)** **(filing date)**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

[x] Signature for additional joint inventor attached. Number of Pages 5.  
[ ] Signature by Administrator(trix) or legal representative for deceased or  
incapacitated inventor. Number of Pages   .  
[ ] Signature for inventor who refuses to sign or cannot be reached by person  
authorized under 37 CFR § 1.47. Number of Pages   .

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE	SIGNATURE		

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE	SIGNATURE		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE	SIGNATURE		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

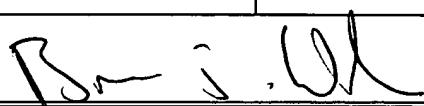
NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE		SIGNATURE	

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE <i>11/21/03</i>		SIGNATURE <i>thomas</i>	

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE		SIGNATURE	
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE		SIGNATURE	

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE	SIGNATURE		
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE <u>1/9/03</u>	SIGNATURE 		

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE <u>1/9/03</u>	SIGNATURE 		

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE	SIGNATURE		

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE	SIGNATURE		



**COMBINED DECLARATION  
AND POWER OF ATTORNEY**

R. J. Nick  
Strong

As a below named inventor, I hereby declare that:

My citizenship, residence and post office address are as listed below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Convertible Financial Instruments with Contingent Payments**, the specification of which

(a) [ ] is attached hereto.

(b) [x] was filed on August 12, 2002 as Application Serial No. 10/064,745 and was amended on \_\_\_\_\_.

(c) [ ] was described and claimed in International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended on \_\_\_\_\_.

**Acknowledgment of Duty of Disclosure**

I hereby state that I have reviewed and understood the content of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

**35 U.S.C. § 120**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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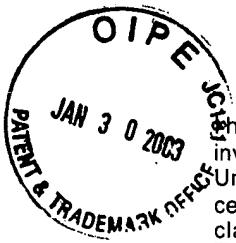
(Application Serial No.)	(Filing Date)	(Status)(patented,pending,abandoned)	(Patent No. if applicable)
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**Power of Attorney**

I hereby appoint the practitioners at Customer No. 21121 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO: customer number 21121

DIRECT TELEPHONE CALLS TO:  
OPPEDAHL & LARSON LLP  
(970)468-6600

**Claim for Priority**

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign applications for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), FILED WITHIN TWELVE MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				YES <input type="checkbox"/> NO <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION					
COUNTRY	APPLICATION NO.	DATE OF FILING (day/month/year)	DATE OF ISSUE (day/month/year)		

**Provisional Application**

I hereby claim the benefit under 35 U.S.C § 119(e) of any United States provisional application(s) listed below.

60/311,574 August 10, 2001  
(application number) (filing date)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST INVENTOR	LAST NAME BIRLE, JR.	FIRST NAME JAMES	MIDDLE NAME R.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Summit	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 37 Sweetbriar Road		CITY Summit,	STATE/COUNTRY ZIP CODE NJ 07901
DATE		SIGNATURE	

Signature for additional joint inventor attached. Number of Pages 5.

Signature by Administrator(trix) or legal representative for deceased or incapacitated inventor. Number of Pages \_\_\_\_.

Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR § 1.47. Number of Pages \_\_\_\_.

NAME OF SECOND INVENTOR	LAST NAME DOLAN	FIRST NAME DAVID	MIDDLE NAME K
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Annandale	STATE OR COUNTRY OF RESIDENCE Virginia	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 6535 Renwood Lane		CITY Annandale	STATE/COUNTRY ZIP CODE VA22003
DATE	SIGNATURE		

NAME OF THIRD INVENTOR	LAST NAME EDWARDS	FIRST NAME JEFFREY	MIDDLE NAME N.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Basking Ridge	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 55 Meeker Road		CITY Basking Ridge	STATE/COUNTRY ZIP CODE NJ 07920
DATE	SIGNATURE		
NAME OF FOURTH INVENTOR	LAST NAME EPELBAUM,	FIRST NAME YONATHAN	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 500 Chambers St. #4G		CITY New York,	STATE/COUNTRY ZIP CODE NY 10282
DATE	SIGNATURE		
NAME OF FIFTH INVENTOR	LAST NAME FIDDLE	FIRST NAME FREDERICK	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Ridgewood	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 450 Knollwood Road		CITY Ridgewood	STATE/COUNTRY ZIP CODE New Jersey 07450
DATE	SIGNATURE		

NAME OF SIXTH INVENTOR	LAST NAME JONES	FIRST NAME EMERSON	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Greenwich	STATE OR COUNTRY OF RESIDENCE CT	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 72 Rockwood Lane		CITY Greenwich	STATE/COUNTRY ZIP CODE CT 06830
DATE		SIGNATURE	
NAME OF SEVENTH INVENTOR	LAST NAME KAPERST	FIRST NAME STUART	MIDDLE NAME C.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 180 E. 79 <sup>th</sup> Street #10 F		CITY New York	STATE/COUNTRY ZIP CODE New York 10021
DATE		SIGNATURE	
NAME OF EIGHTH INVENTOR	LAST NAME KAPLAN	FIRST NAME TODD	MIDDLE NAME K.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Winnetka	STATE OR COUNTRY OF RESIDENCE Illinois	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 254 Scott Avenue		CITY Winnetka	STATE/COUNTRY ZIP CODE IL 60093
DATE		SIGNATURE	
NAME OF NINTH INVENTOR	LAST NAME KERSTEIN	FIRST NAME DANIEL	MIDDLE NAME Y.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE WOODSBURGH	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1000 BARBERRY LANE		CITY WOODSBURGH	STATE/COUNTRY ZIP CODE NY 11598
DATE		SIGNATURE	

NAME OF TENTH INVENTOR	LAST NAME KOLEV	FIRST NAME DRAGOMIR	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE NY	COUNTRY OF CITIZENSHIP BG
POST OFFICE ADDRESS 330 E 33 <sup>rd</sup> St. \$4G		CITY New York	STATE/COUNTRY ZIP CODE NY10016
DATE		SIGNATURE	

NAME OF ELEVENTH INVENTOR	LAST NAME LUCIANO	FIRST NAME RICHARD	MIDDLE NAME P.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Morristown	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1 Three Gables Road		CITY Morristown	STATE/COUNTRY ZIP CODE NJ 07690
DATE	SIGNATURE		

NAME OF TWELFTH INVENTOR	LAST NAME PATRICK, JR	FIRST NAME THOMAS	MIDDLE NAME H.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1365 York Avenue #8K		CITY New York	STATE/COUNTRY ZIP CODE 10021
DATE	SIGNATURE		

NAME OF THIRTEENTH INVENTOR	LAST NAME PEPE	FIRST NAME PAUL	MIDDLE NAME A.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 23 E 10 <sup>th</sup> Street #109		CITY New York	STATE/COUNTRY ZIP CODE New York 10003
DATE	SIGNATURE		
NAME OF FOURTEENTH INVENTOR	LAST NAME STEIFMAN	FIRST NAME ERIC	MIDDLE NAME
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE New York	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 310 W. End Avenue #10 B		CITY New York	STATE/COUNTRY ZIP CODE New York 10023
DATE	SIGNATURE		

NAME OF FIFTEENTH INVENTOR	LAST NAME STEIN	FIRST NAME RUSSELL	MIDDLE NAME L.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Englewood Cliffs	STATE OR COUNTRY OF RESIDENCE New Jersey	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Karen's Lane		CITY Englewood Cliffs	STATE/COUNTRY ZIP CODE New Jersey 07632
DATE		SIGNATURE	
NAME OF SIXTEENTH INVENTOR	LAST NAME WARBLE	FIRST NAME BRENNAN	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Bronxville	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 3 Sherman Avenue		CITY Bronxville	STATE/COUNTRY ZIP CODE NY 10708
DATE		SIGNATURE	

NAME OF SEVENTEENTH INVENTOR	LAST NAME GREEN	FIRST NAME RICHARD	MIDDLE NAME J.
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Woodbury	STATE OR COUNTRY OF RESIDENCE New York	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 10 Castle Court		CITY Woodbury	STATE/COUNTRY ZIP CODE NY 11797
DATE		SIGNATURE	

NAME OF EIGHTEENTH INVENTOR	LAST NAME Rudnick	FIRST NAME Robert	MIDDLE NAME A
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE Queenstown	STATE OR COUNTRY OF RESIDENCE MD	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS 1128 Cheston Lane		CITY Queenstown	STATE/COUNTRY ZIP CODE MD 21658
DATE	1/09/03	SIGNATURE <i>Robert A. Rudnick</i>	

NAME OF NINETEENTH INVENTOR	LAST NAME Strong	FIRST NAME Frank	MIDDLE NAME R
RESIDENCE & CITIZENSHIP	CITY OF RESIDENCE McLean	STATE OR COUNTRY OF RESIDENCE VA	COUNTRY OF CITIZENSHIP US
POST OFFICE ADDRESS Chesterbrook Vale Court		CITY McLean	STATE/COUNTRY ZIP CODE VA 22101
DATE <i>1/10/03</i>	SIGNATURE <i>Frank L. Strong</i>		